

# Light and quantum mechanics 1

Light, the photoelectric effect, and the  
photon

Modern physics for engineers

David Miller



# Light and quantum mechanics

# Light and quantum mechanics



With both quantum mechanics and thermal distributions

we can explain the main behaviors  
of light

and how it interacts with matter  
including emission and  
absorption

and the relation between them

# Light and quantum mechanics



So we will be able to understand  
practical issues such as  
the limitations of conventional light  
bulbs and  
the light from the sun  
and so we can introduce concepts  
such as  
the stimulated emission that makes  
lasers work

# Light and quantum mechanics



- ❑ we derive Planck's distribution and  
consequences for light spectra
- ❑ we explain key concepts in the  
thermodynamics of light  
including black-body spectra and  
Kirchhoff's law of radiation
- ❑ we derive Einstein's "A and B  
coefficient" argument  
relating emission and absorption  
including stimulated emission



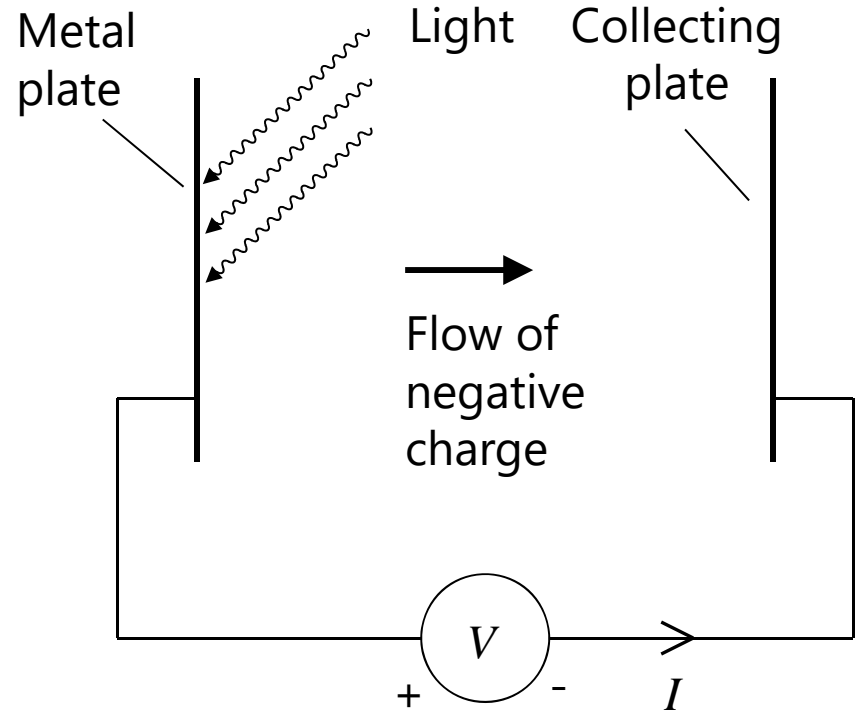
# The photoelectric effect and the photon



# Photoelectric effect

Shining ultraviolet light on the metal plate  
gives a flow of negative charge  
(Hertz, 1887)

The flow can be stopped with a specific voltage  
which is independent of the brightness  
and dependent only on the frequency (Lenard, 1902)



# Photoelectric effect

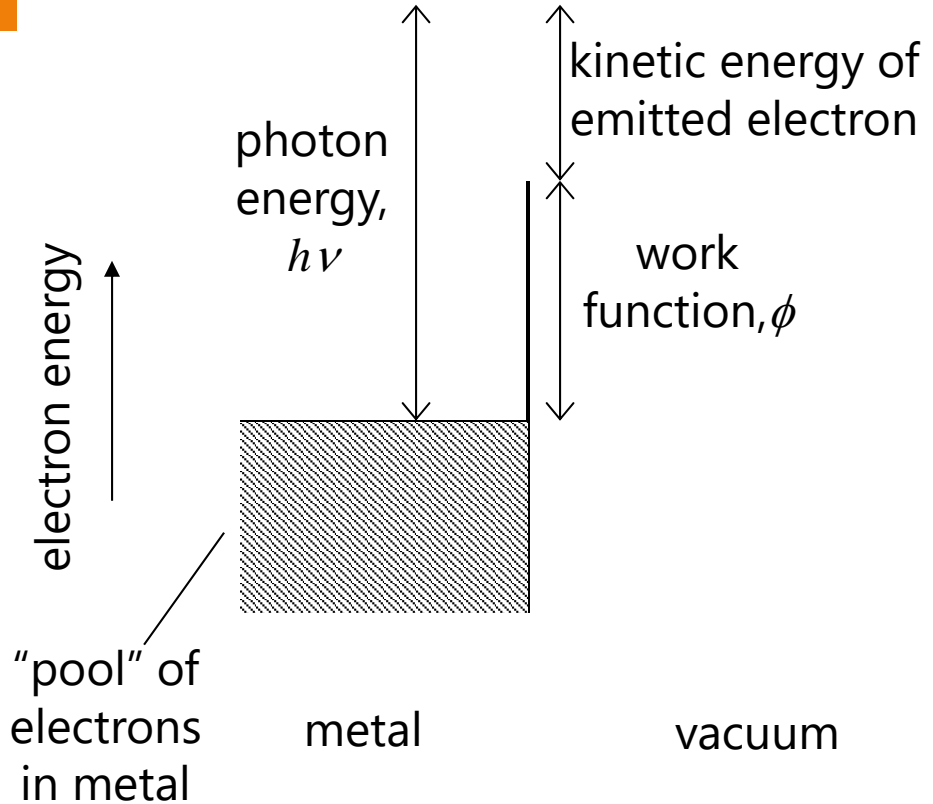
Einstein's proposal (1905)

light is actually made up out of particles

photons, of energy  $E = h\nu$

The kinetic energy of the emitted electrons

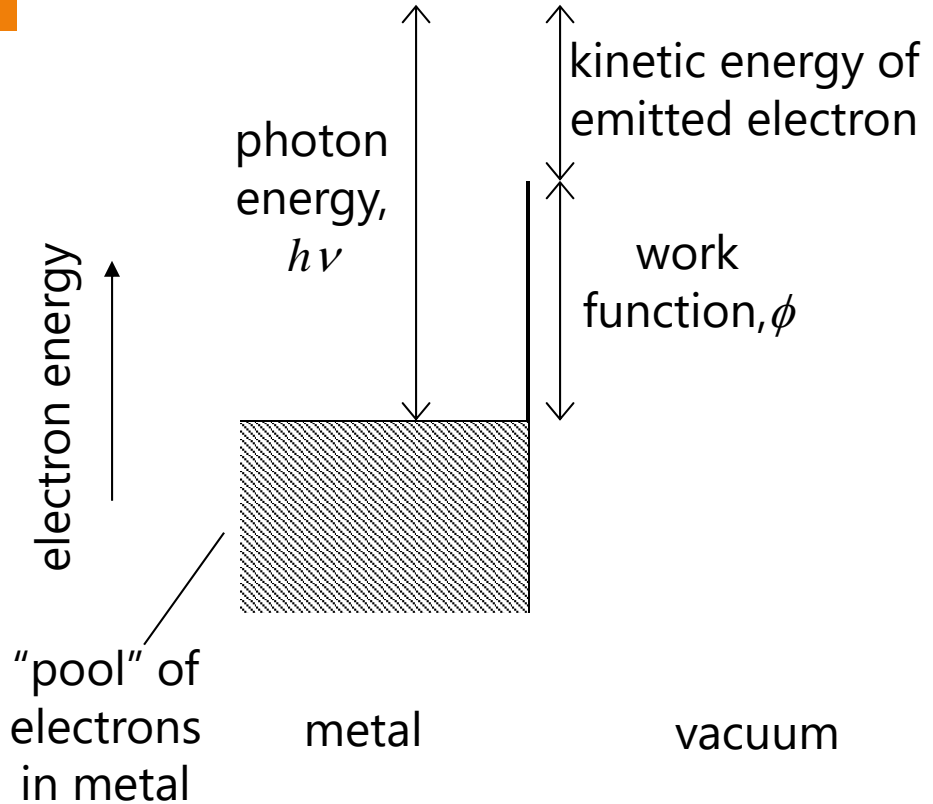
is the energy left over after the electron has been "lifted" over the work function barrier





# Photoelectric effect

So, the electrons start out with an "excess" kinetic energy  
 $h\nu - \phi$  (the work function)



# Photoelectric effect

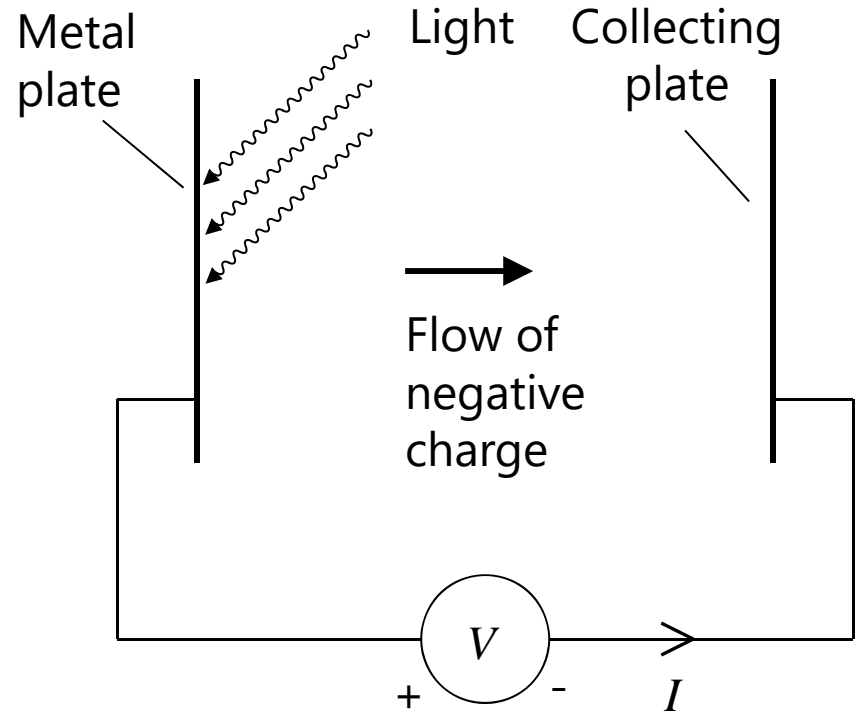
So, the electrons start out with an "excess" kinetic energy

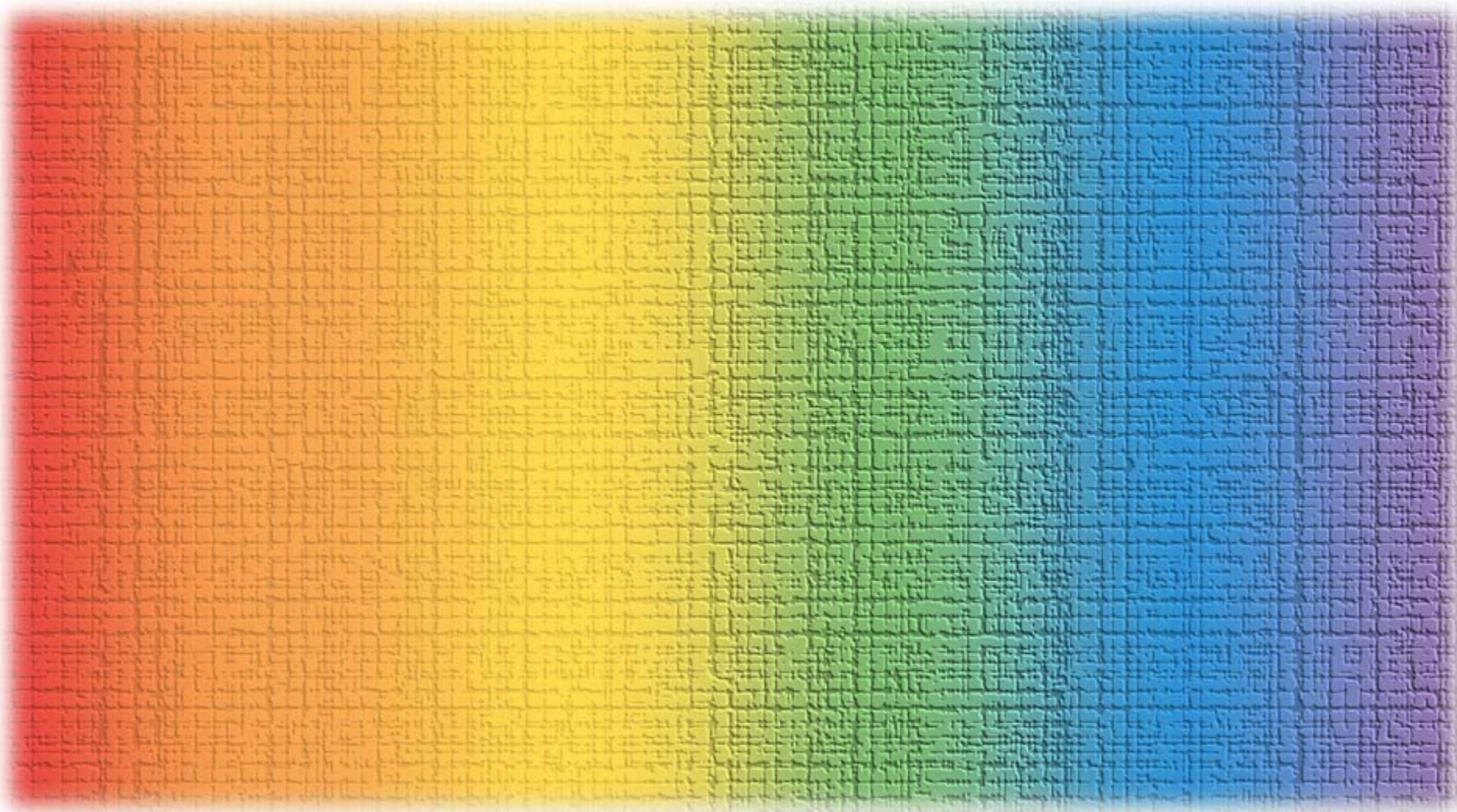
$$h\nu - \phi \text{ (the work function)}$$

The voltage that just stops the current

is the one that just stops all the electrons

with this kinetic energy from managing to reach the collecting plate









# Light and quantum mechanics 1

Light and modes

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# Light and modes

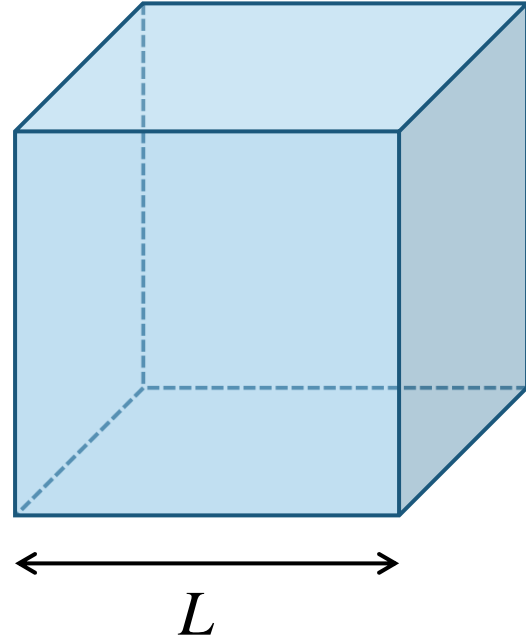
To understand light and quantum mechanics

in addition to photons, we need to consider modes for light

To do this, we imagine a cubic cavity of side  $L$

with perfectly reflecting walls and consider its modes

From this we get the number of modes per unit volume



# Light and modes

The possible modes of the cavity are those for which

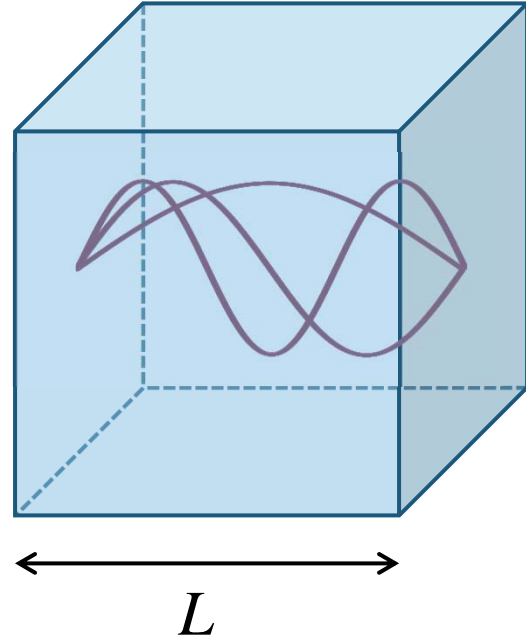
the wave reaches zero at the walls

hence the possible modes are sine waves

in all 3 directions at once

$$\sin(k_x x) \sin(k_y y) \sin(k_z z)$$

in which integer numbers of half waves fit within the cavity



# Light and modes

For such a wave

$$\sin(k_x x) \sin(k_y y) \sin(k_z z)$$

to be zero at the walls

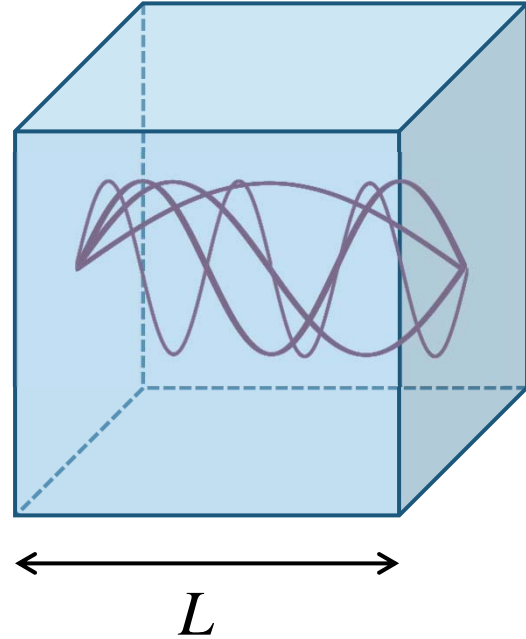
the allowed values of  $k_x$

the wavevector magnitude in  
the  $x$  direction

are  $k_x = \frac{\pi}{L}, \frac{2\pi}{L}, \frac{3\pi}{L}, \dots, \frac{n_x \pi}{L}, \dots$

where  $n_x$  is an integer

and similarly for the  $y$   
and  $z$  directions





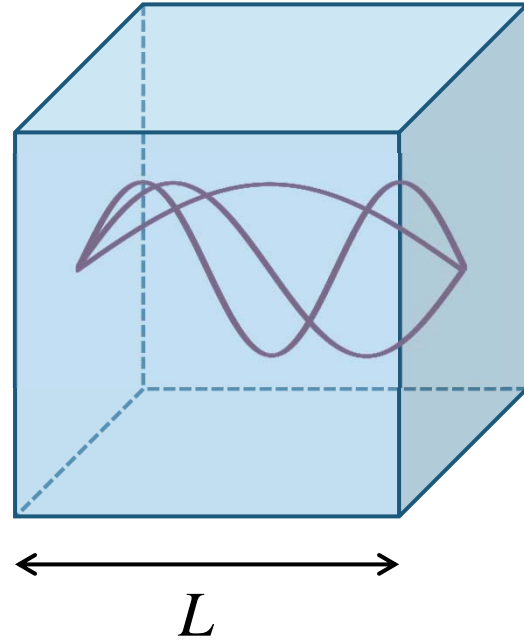
# Light and modes

Note that, with

$$k_x = \frac{\pi}{L}, \frac{2\pi}{L}, \frac{3\pi}{L}, \dots, \frac{n_x\pi}{L}, \dots$$

and similarly for the  $y$  and  $z$  directions

the allowed values for each component  $k_x$ ,  $k_y$ , and  $k_z$  are spaced by  $\pi/L$



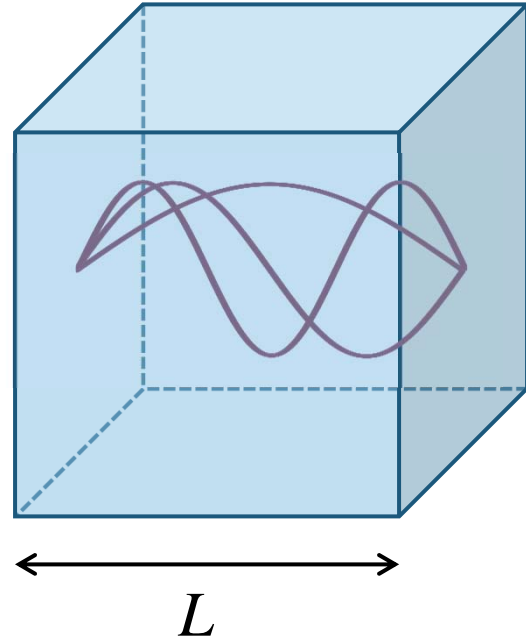
# Light and modes

So, if we are interested in some range of  $k_x$  of size  $\Delta k_x$   
we should expect to find

$$\frac{\Delta k_x}{(\pi / L)} = \frac{L}{\pi} \Delta k_x$$

different possible  $k_x$  values  
in that range

We can argue similarly for  $k_y$   
and  $k_z$



# Light and modes

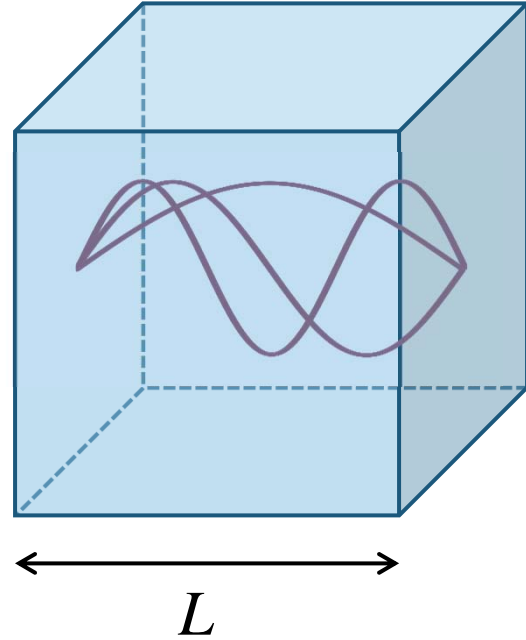
So, for ranges  $\Delta k_x$ ,  $\Delta k_y$ , and  $\Delta k_z$   
we should expect to find

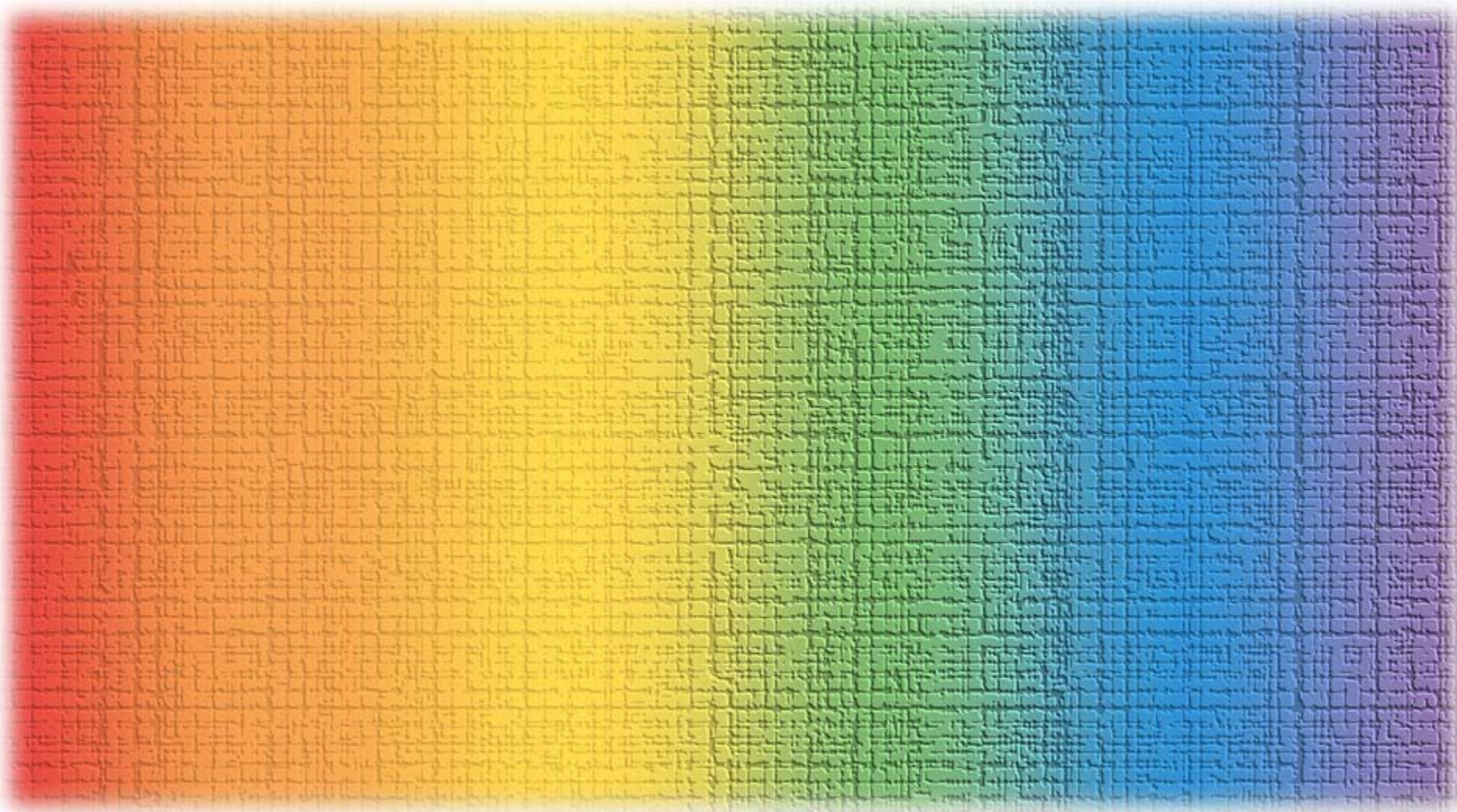
$$\frac{L}{\pi} \Delta k_x \frac{L}{\pi} \Delta k_y \frac{L}{\pi} \Delta k_z = \frac{L^3}{\pi^3} \Delta k_x \Delta k_y \Delta k_z \\ \equiv g_{\mathbf{k}} \Delta k_x \Delta k_y \Delta k_z$$

different possible values of

$$\mathbf{k} = k_x \hat{\mathbf{x}} + k_y \hat{\mathbf{y}} + k_z \hat{\mathbf{z}}$$

Here we can call  $g_{\mathbf{k}} \equiv L^3 / \pi^3 = V / \pi^3$   
the density of states in k-space  
for a volume  $V = L^3$









Light and quantum  
mechanics 1

Thermal radiation

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# Black-body spectrum

We remember the

“black-body spectrum”

from a hot, black body

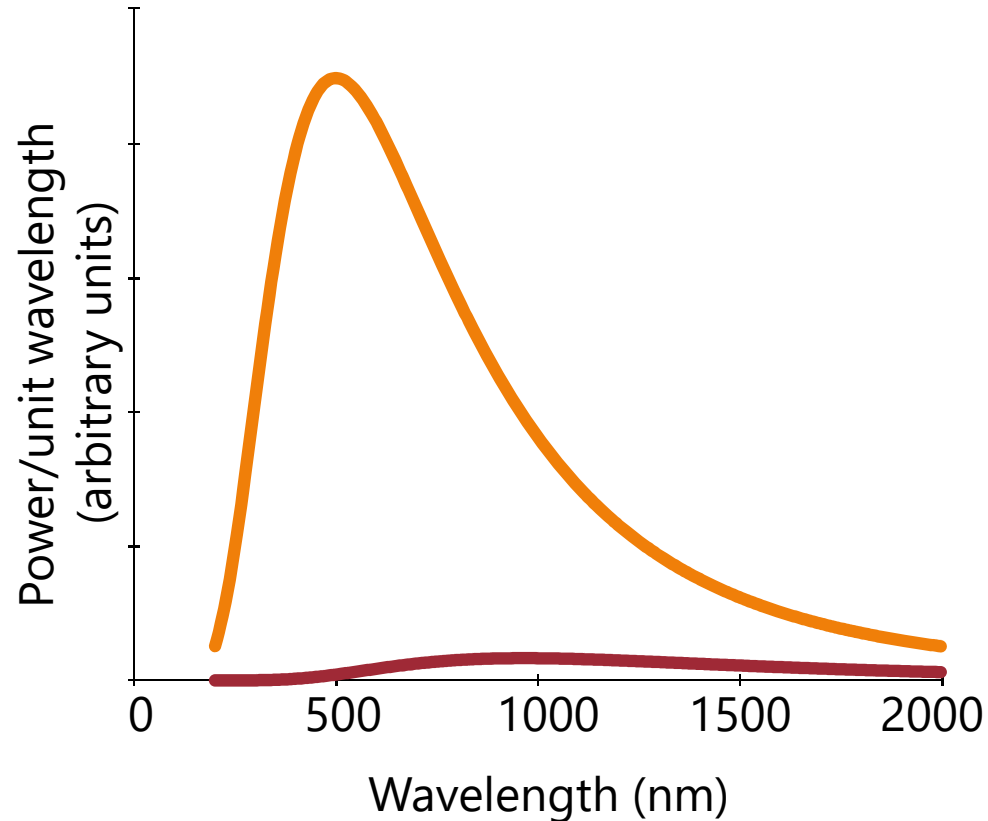
The output power (per unit wavelength)

for a black body at 5800K

approximately like the sun

for a black body at 3000K

approximately like an incandescent light bulb



# Planck distribution

We remember the Planck distribution

the average number of photons per mode in thermal equilibrium at temperature  $T$  is

the Planck distribution

$$\langle q \rangle = \frac{1}{\exp(\hbar\omega / k_B T) - 1}$$

(We saw that the Planck distribution is

a Bose-Einstein distribution

with chemical potential of zero)

Note incidentally that  $\langle q \rangle$  need not be an integer



# Thermal radiation

With  $\langle q \rangle = 1 / [\exp(\hbar\omega / k_B T) - 1]$  photons

in a given mode of angular frequency  $\omega$

the total energy in the field per unit volume

the energy density  $U$ , is

$$U = \frac{1}{V} \sum_{\text{polarizations}} \sum_{k_x} \sum_{k_y} \sum_{k_z} \langle q \rangle \hbar\omega = \frac{2}{V} \sum_{k_x} \sum_{k_y} \sum_{k_z} \langle q \rangle \hbar\omega$$

where we have summed over the two  
polarizations

which gives the factor of 2

# Thermal radiation

We presume the volume or “box” we are considering is large  
so the allowed values of the components  $k_x$ ,  $k_y$ , and  $k_z$   
are very closely spaced

So we can approximate the sum by an integral  
where we allow each component  $k_x$ ,  $k_y$ , and  $k_z$   
to range from approximately zero to infinity  
and we use the density of states  $g_{\mathbf{k}} = V / \pi^3$

So the total energy per unit volume for all these modes is

$$U = \frac{2}{V} \sum_{k_x} \sum_{k_y} \sum_{k_z} \langle q \rangle \hbar \omega \simeq \frac{2}{V} \int_{k_x=0}^{\infty} \int_{k_y=0}^{\infty} \int_{k_z=0}^{\infty} \langle q \rangle \hbar \omega g_{\mathbf{k}} dk_x dk_y dk_z$$

# Thermal radiation

Nothing in this integral  $U \simeq \frac{2}{V} \int_{k_x=0}^{\infty} \int_{k_y=0}^{\infty} \int_{k_z=0}^{\infty} \langle q \rangle \hbar \omega g_{\mathbf{k}} dk_x dk_y dk_z$

depends on the direction of the wave vector  $\mathbf{k}$

so we usefully change to spherical polar coordinates

We can integrate in spherical shells of radius  $k$

and surface area  $4\pi k^2$  and thickness  $dk$

though dividing by 8 to consider only

the one octant that corresponds to

positive  $k$  in each direction

# Thermal radiation

So with  $g_{\mathbf{k}} = V / \pi^3$  we have

$$U \simeq \frac{2}{8V} \int_{k=0}^{\infty} \langle q \rangle \hbar \omega g_{\mathbf{k}} 4\pi k^2 dk = \int_{k=0}^{\infty} \langle q \rangle \hbar \omega \frac{1}{\pi^2} k^2 dk$$

For light in free space, for angular frequency  $\omega$

$$k = \omega / c$$

(this is an equivalent relation to  $f = c / \lambda$  between frequency  $f$ , wavelength  $\lambda$ , and velocity of light  $c$ )

so changing variables from  $k$  to  $\omega$ , we have

$$U \simeq \frac{\hbar}{\pi^2 c^3} \int_{\omega=0}^{\infty} \omega^3 \langle q \rangle d\omega$$



# Thermal radiation

We can choose to think of this integral  $U \simeq \frac{\hbar}{\pi^2 c^3} \int_{\omega=0}^{\infty} \omega^3 \langle q \rangle d\omega$   
as an integral over  
a quantity  $u_\omega$ , i.e.,  $U = \int_0^{\infty} u_\omega d\omega$

Then  $u_\omega$  is the energy density per unit (angular) frequency  
for light in thermal equilibrium at a temperature  $T$

We can write this explicitly as

$$u_\omega = \frac{\hbar}{\pi^2 c^3} \frac{\omega^3}{\exp(\hbar\omega / k_B T) - 1}$$

# Planck and Stefan-Boltzmann radiation laws

# Planck radiation law

We plot this spectrum

against photon energy

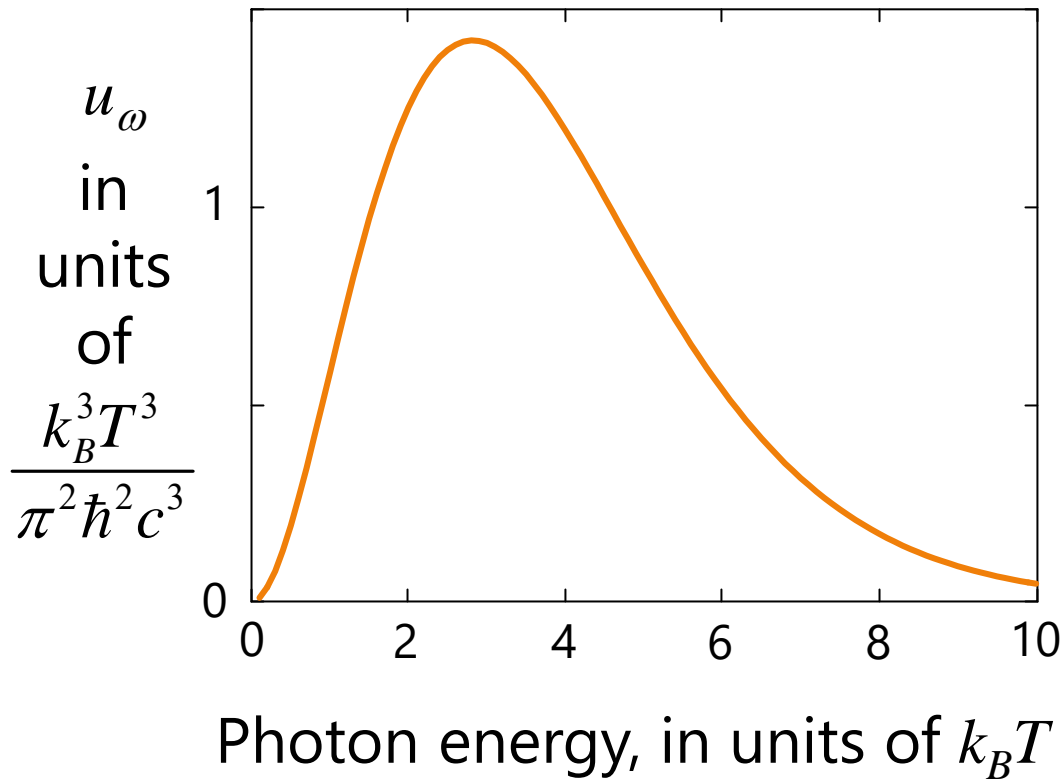
This is the black-body spectrum

though we need one more step to get there completely

The exponential cuts off high frequencies

avoiding the ultraviolet catastrophe

$$u_{\omega} = \frac{\hbar}{\pi^2 c^3} \frac{\omega^3}{\exp(\hbar\omega / k_B T) - 1}$$



# Stefan-Boltzmann law

We can now complete the integration over frequency to get the total thermal radiation density

$$\begin{aligned} U &= \int_0^{\infty} u_{\omega} d\omega = \int_0^{\infty} \frac{\hbar}{\pi^2 c^3} \frac{\omega^3}{\exp(\hbar\omega / k_B T) - 1} d\omega \\ &= \frac{k_B^4 T^4}{\pi^2 \hbar^2 c^3} \int_0^{\infty} \frac{x^3}{\exp(x) - 1} dx \end{aligned}$$

where we have changed variables to  $x = \frac{\hbar\omega}{k_B T}$

We note the result  $\int_0^{\infty} \frac{x^3}{\exp(x) - 1} dx = \frac{\pi^4}{15}$



# Stefan-Boltzmann law

Hence, for the energy per unit volume inside the cavity  
we obtain the

Stefan-Boltzmann law 
$$U = \frac{\pi^2 k_B^4}{15 \hbar^3 c^3} T^4$$

In an electromagnetic field  
or equivalently, in a “photon gas”  
at a given temperature

the energy density is proportional to the fourth  
power of the temperature

