

# Semiconductor optoelectronics 1

Optoelectronic devices and photodetectors

Modern physics for engineers

David Miller



# Optoelectronic devices

# Optoelectronic devices



Being able to convert

easily and efficiently between

light and electrical power and  
signals

has transformed what we can do  
with light

The devices that enable such  
conversions are called

optoelectronic devices

# Optoelectronic devices



Viewed broadly, optoelectronics includes

- ❑ conventional incandescent and fluorescent light bulbs
- ❑ vacuum-based devices like cathode ray tube and plasma displays and some specialized photodetectors (e.g., photomultiplier tubes)
- ❑ liquid crystal display technology
- ❑ and, especially now  
semiconductor optoelectronics  
which we can now understand

# Optoelectronic devices



Many optoelectronic devices use diodes, but in different ways

- ❑ collecting electrons and holes generated by absorbing photons, in, e.g.,
  - solar cells
  - photodetectors for signals on light beams

# Optoelectronic devices



- injecting electrons and holes into the junction region

by forward biasing the diodes  
so they can

recombine by emitting  
photons for

- light-emitting diodes  
e.g., illumination
- semiconductor lasers  
e.g., fiber communications

# Optoelectronic devices



- applying large electric fields inside devices without much current by reverse biasing

We use this for several kinds of light modulators to

turn light beams on and off in optical communications

by changing the material's optical properties, such as optical absorption strength or refractive index

# Optoelectronic devices



Here we will introduce especially  
the use of diode structures in  
detection  
and  
light emission

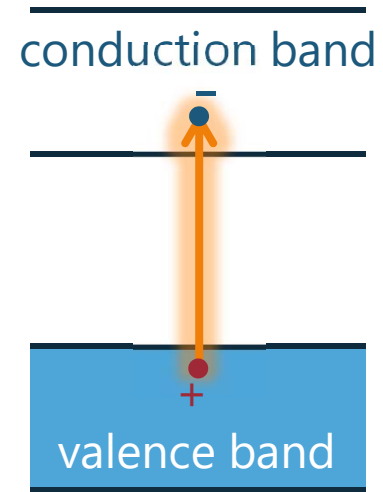


# Photoconductors

# Generating electron-hole pairs

Absorbing a photon in a semiconductor  
with photon energy greater than the band  
gap energy can  
take an electron from the valence band  
and put it in the conduction band  
leaving a hole in the valence band

We can call this process  
generation of an “electron-hole pair”  
These “photogenerated” electrons and holes  
are sometimes called “photocarriers”



# Photoconductors

Before the electron and hole  
"recombine"

with the electron falling back into  
the valence band

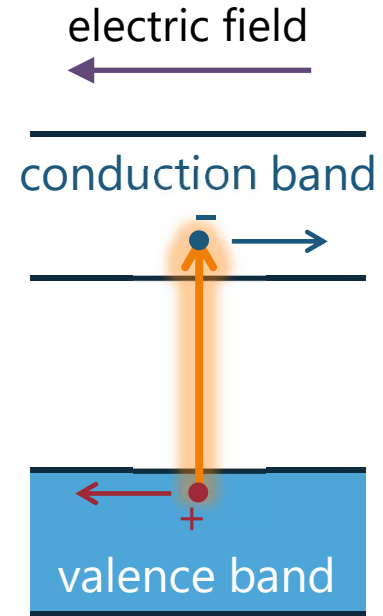
they can conduct electricity

In a piece of semiconductor

this is called photoconduction

This process is used

for some photodetectors

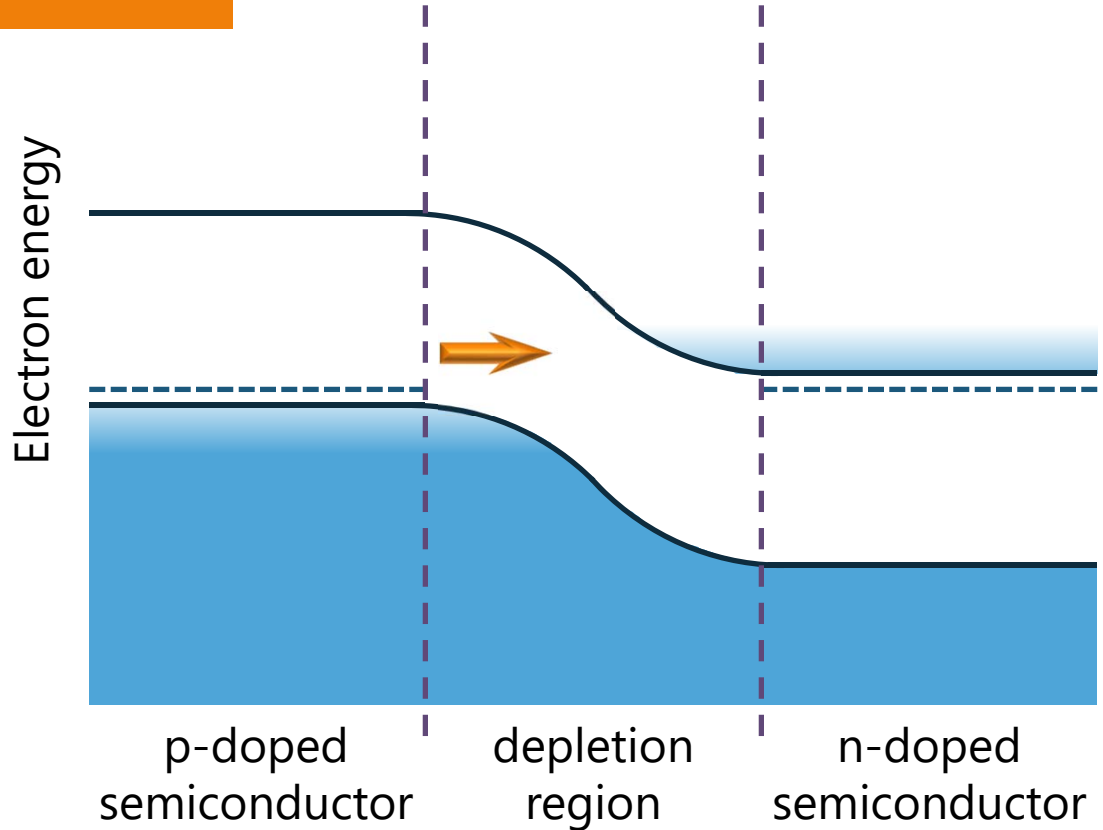




# Photodiodes

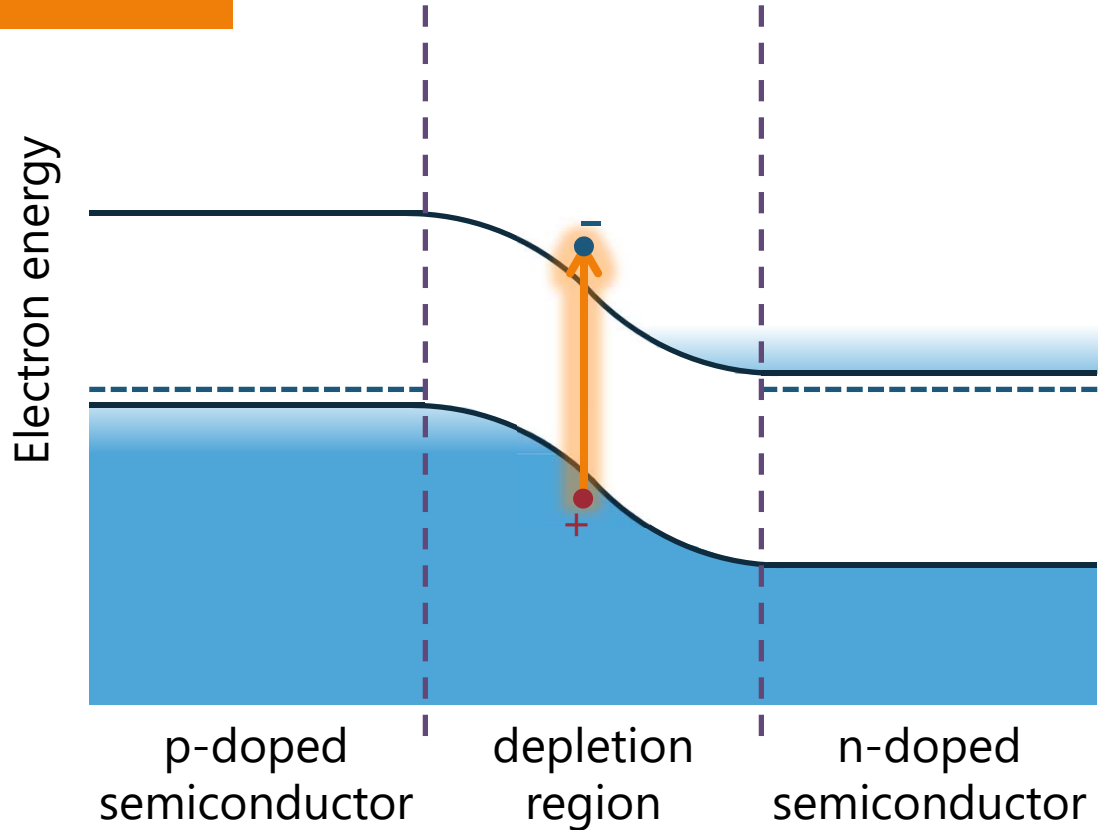
# Photocarriers in diodes

Absorbing a photon in  
the depletion region



# Photocarriers in diodes

Absorbing a photon in  
the depletion region  
generates an  
"electron-hole" pair



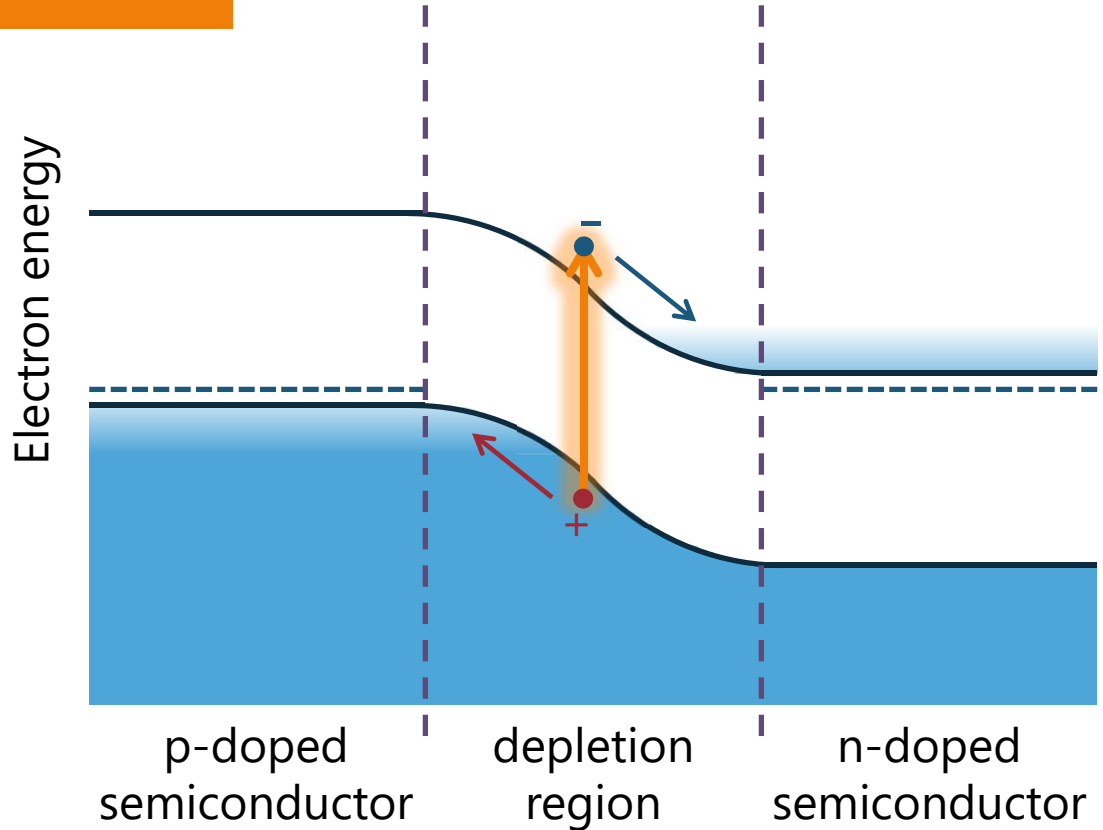


# Photocarriers in diodes

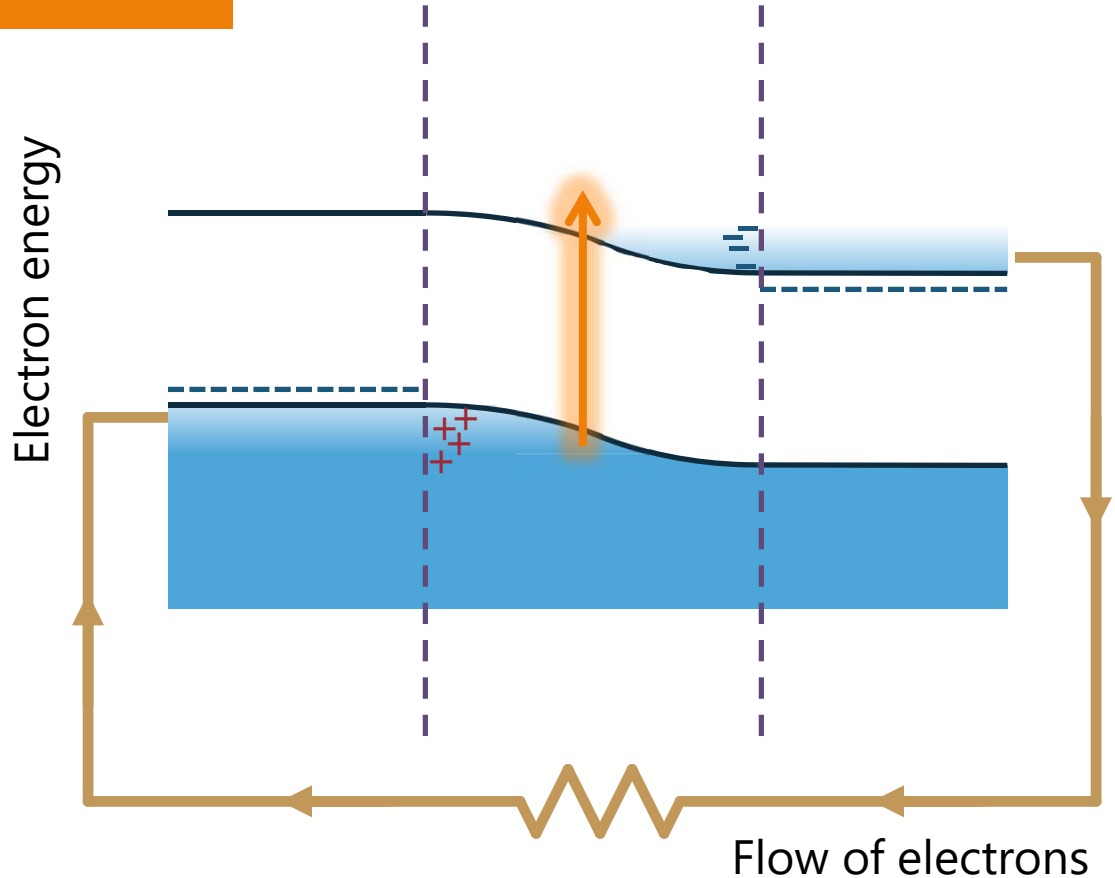
Absorbing a photon in the depletion region

generates an  
"electron-hole" pair

The electron and hole  
drift "downhill" in the  
field

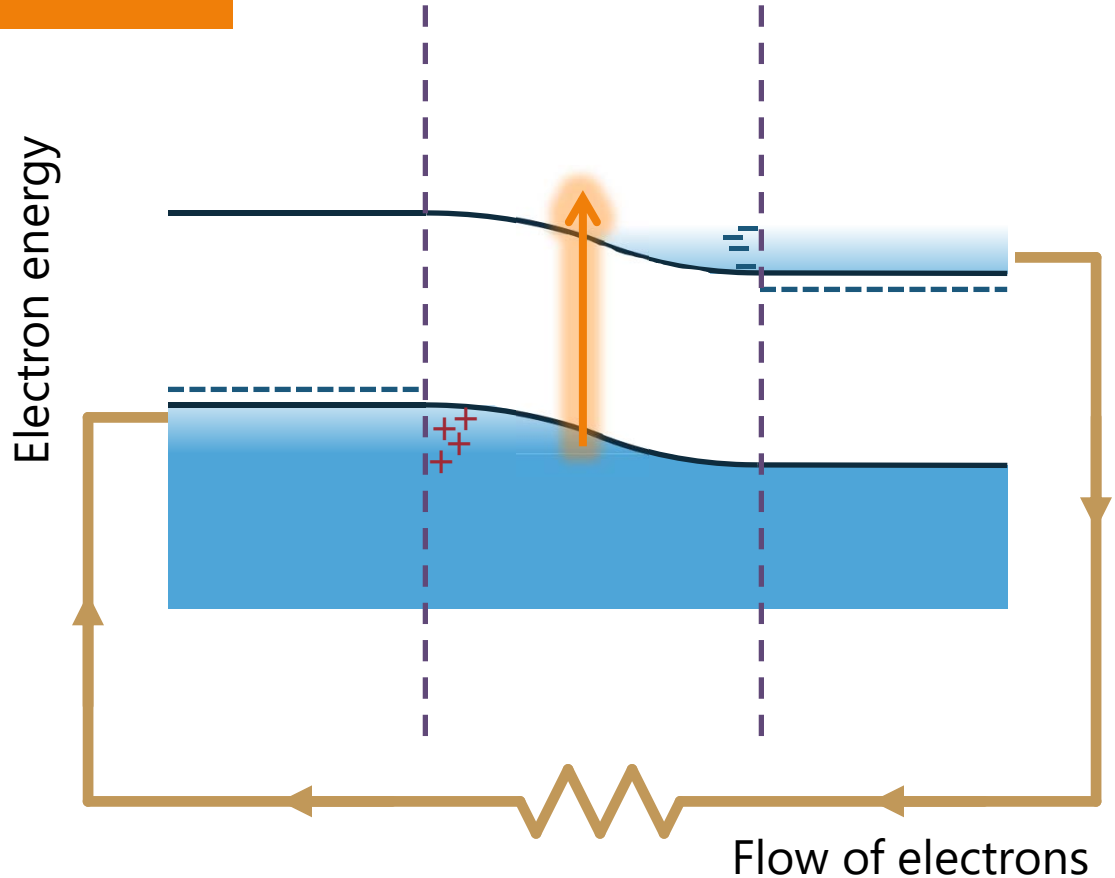


The charge separation  
creates a potential to  
resist the charge  
separation  
which creates an  
external voltage  
which can drive a  
current through a  
resistor



# Photovoltaic diode

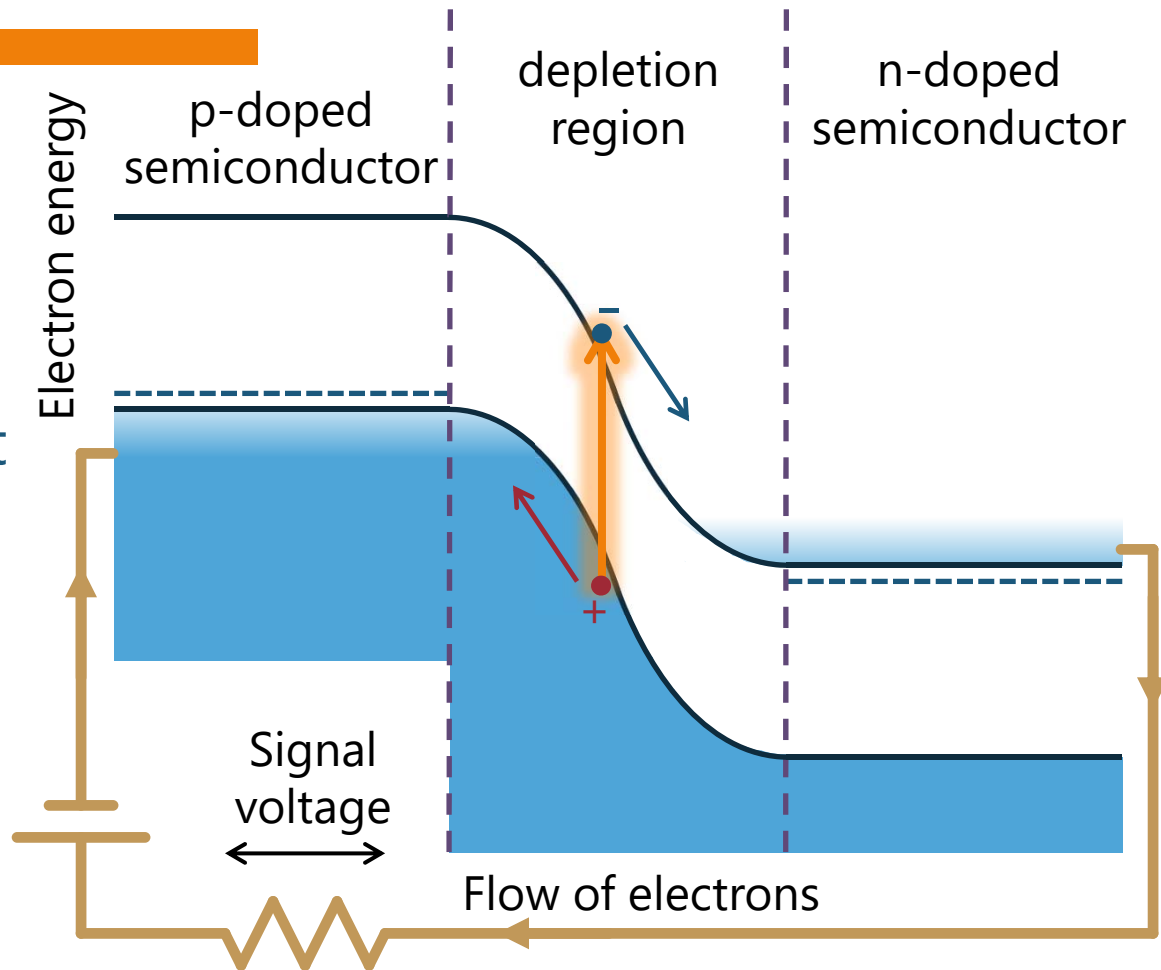
This generates electric  
power  
in a solar cell  
and gives an output  
voltage  
for a photovoltaic  
photodetector



# Reverse-biased photodiode

In a reverse-biased photodiode  
photogenerated  
electrons and holes  
are rapidly swept out  
by the electric field

This gives a  
photocurrent in an  
external circuit  
and a signal voltage  
over a resistor



# Diode current-voltage (I-V)

With light

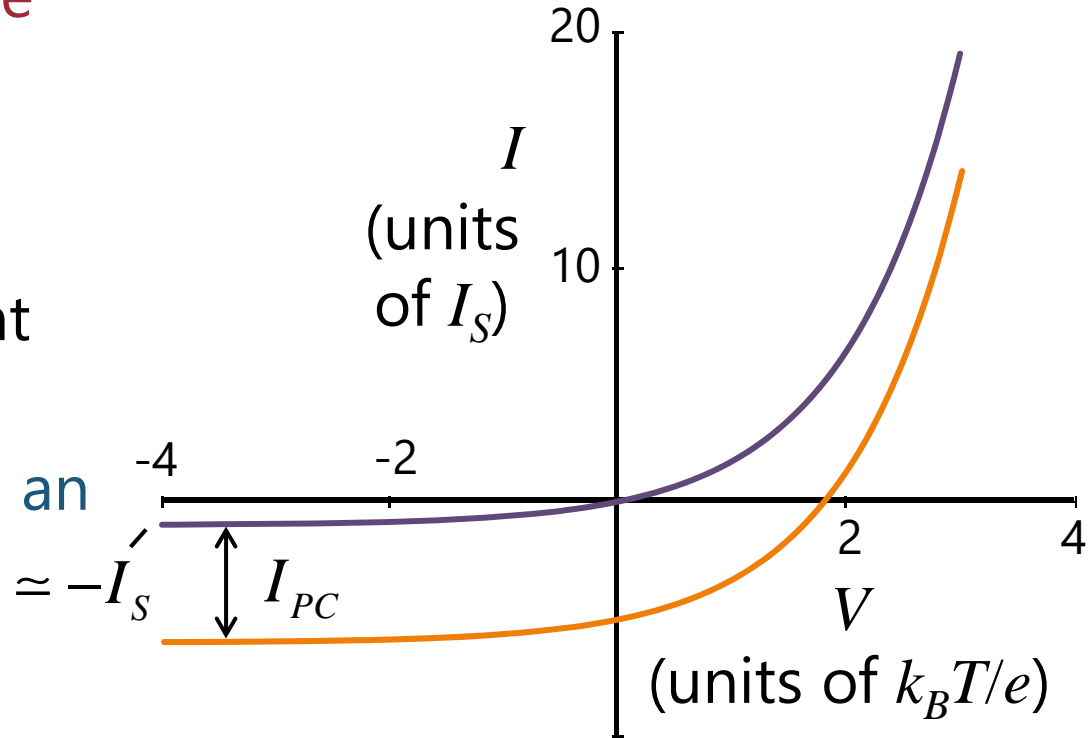
the current-voltage curve  
is shifted down by the  
magnitude of the  
photocurrent  $I_{PC}$

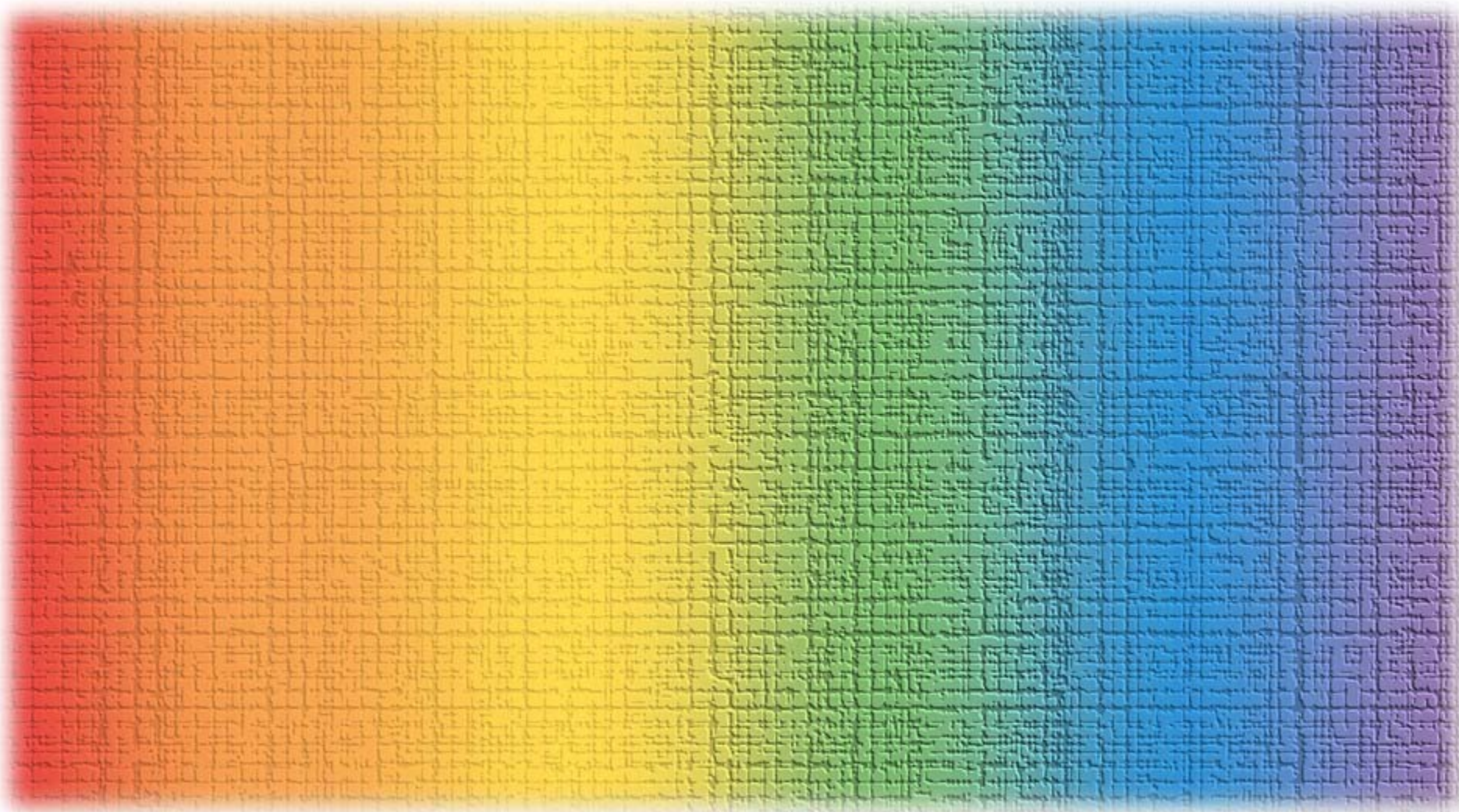
In the lower right quadrant

power  $|V \times I|$

would be generated in an  
external resistor  
as in a solar cell

$$I = I_S \left[ \exp\left(\frac{eV}{k_B T}\right) - 1 \right] - I_{PC}$$









# Semiconductor optoelectronics 1

Light emission

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# Thermal and non-thermal light sources

# Thermal and non-thermal light sources

From the Boltzmann factor  
at any finite temperature

$$\frac{N_2}{N_1} = \exp\left(-\frac{E_2 - E_1}{k_B T}\right)$$

there are always more systems in the lower state  
than in the upper state  
so a photon coming into the system  
is more likely to be absorbed –  $B_{12}N_1\rho(\nu)$   
than it is to stimulate emission –  $B_{21}N_2\rho(\nu)$

Hence photon loss exceeds photon gain for a system in  
thermal equilibrium  
so stimulated emission does not “run away” to lasing

# Thermal and non-thermal light sources



There is still emission from warm bodies

both spontaneous  $A_{21}N_2$

and even some stimulated  $B_{12}N_2\rho(\nu)$

though that is normally a small fraction

These are the normal processes of “thermal” emission of light from hot objects

# Thermal and non-thermal light sources



If by some non-thermal process, we can put some of the “atoms” in their upper states

we can get light emission without high temperatures

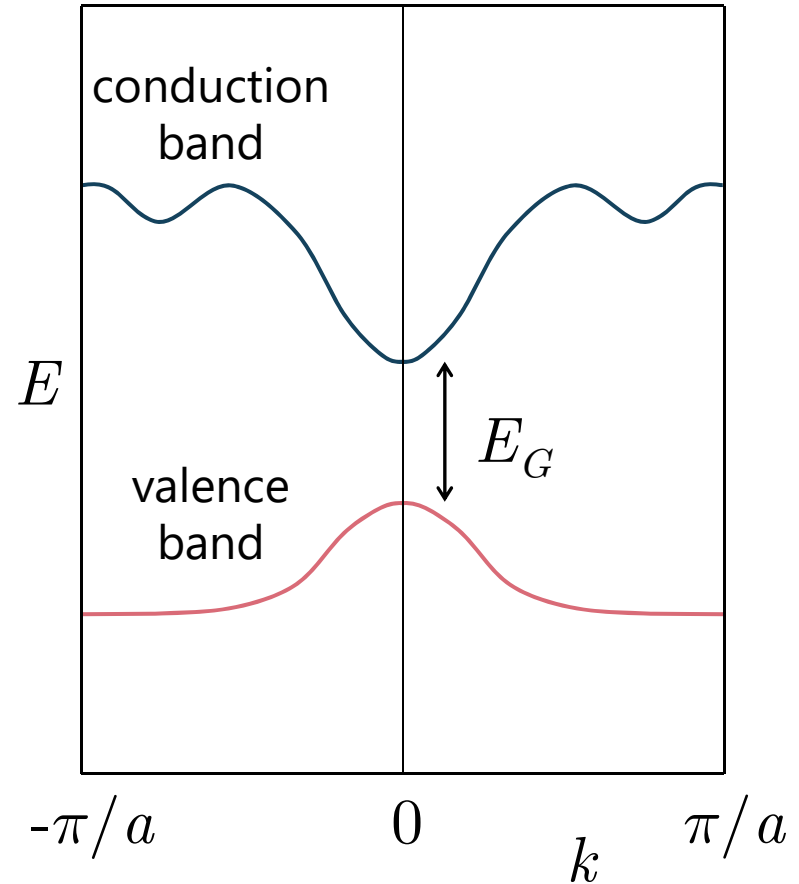
as in light emitting diodes and lasers



# Direct gaps and light emission

# Direct gap semiconductor

If the lowest minimum in the conduction band  
lies directly above  
the highest maximum in the valence band  
the semiconductor is said to  
have a  
"direct gap"



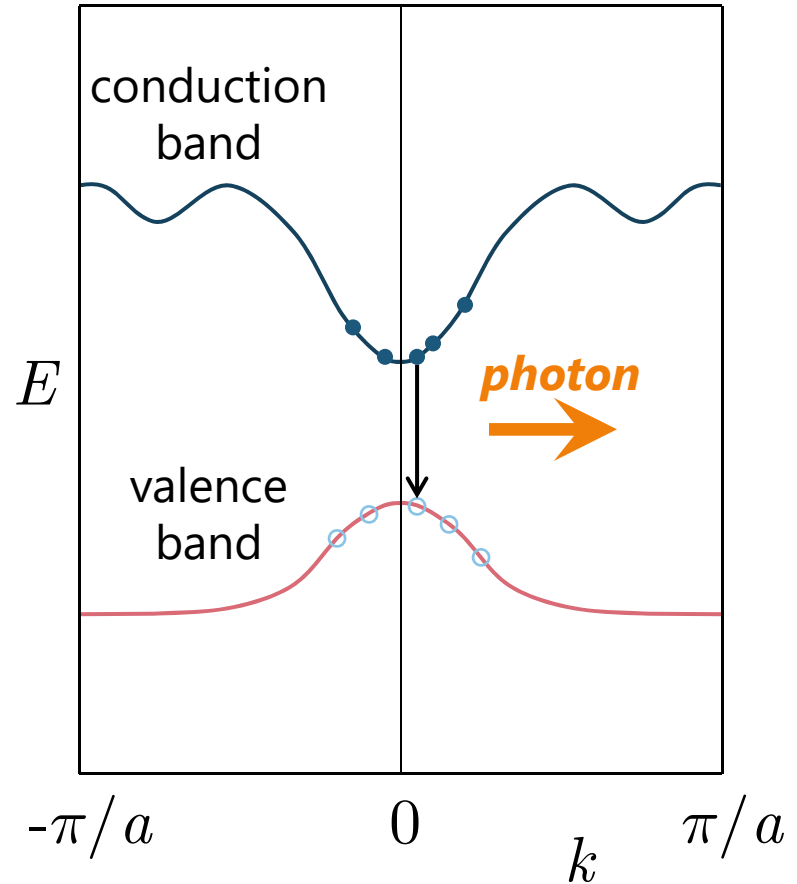
# Direct gap semiconductor

Direct gaps are important for light emitters

Electrons “pumped” into the conduction band gather in the lowest minimum

“Holes” pumped into the valence band gather in the highest maximum

An electron can fall “vertically” to fill in a hole beneath it emitting light



# Indirect gap semiconductor

In an indirect gap semiconductor

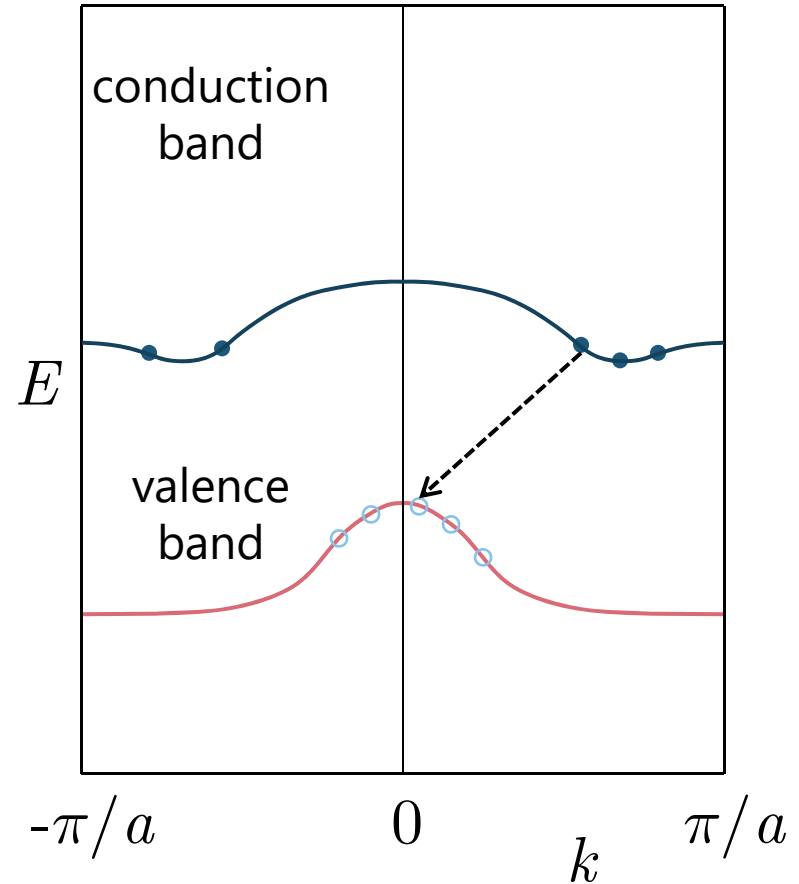
e.g., silicon, germanium

the lowest conduction band minimum (or minima)

is (are) not directly above the highest valence band maximum

Light emission is weak

"non-vertical" transitions by emission of photons are weak





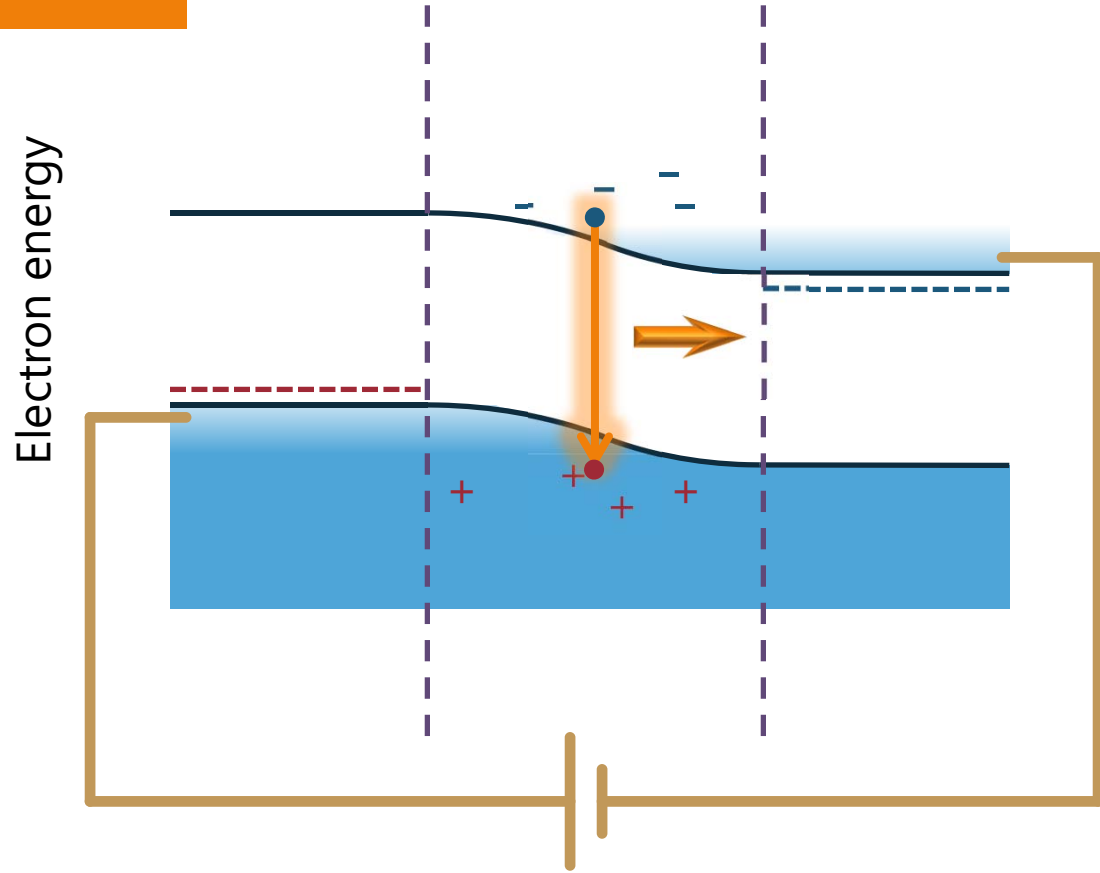
# Forward-biased diode light emitters



# Forward-biased light emitter

Forward biasing the diode

injects  
electrons  
and  
holes  
into the same region  
where they can  
recombine  
to emit light





# Forward-biased light emitter

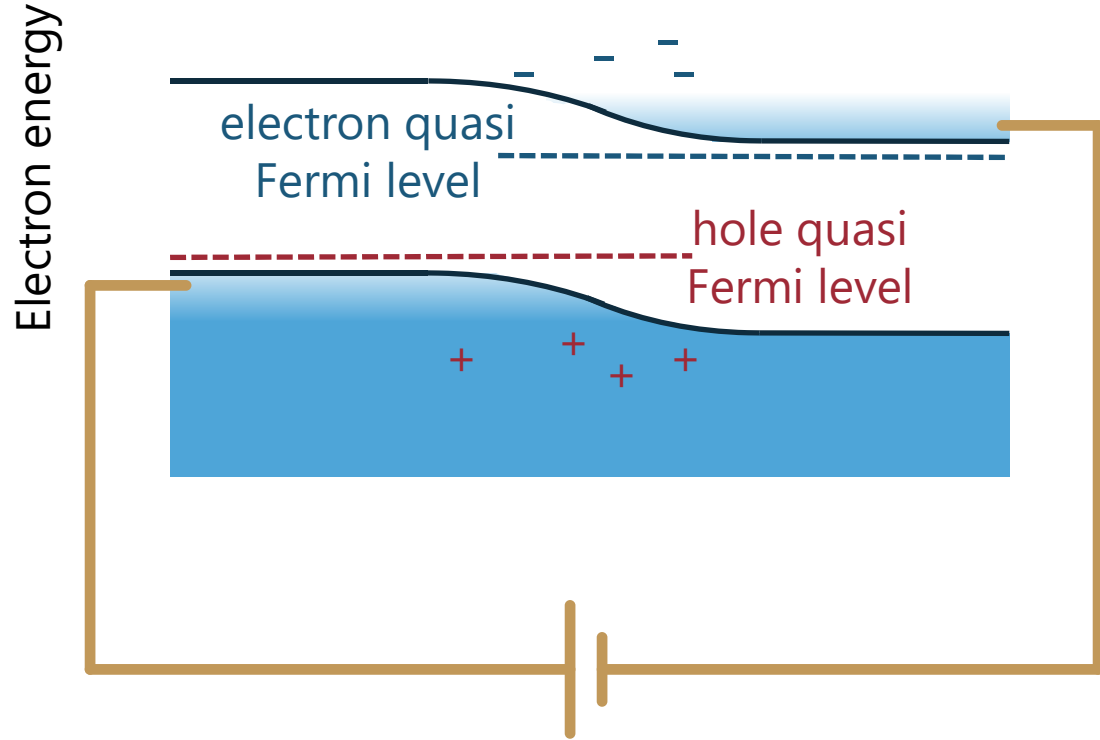
Under forward bias

electrons and holes  
near the junction

can effectively have  
their own Fermi  
levels

different from each  
other

known as quasi  
Fermi levels



# Forward-biased light emitter

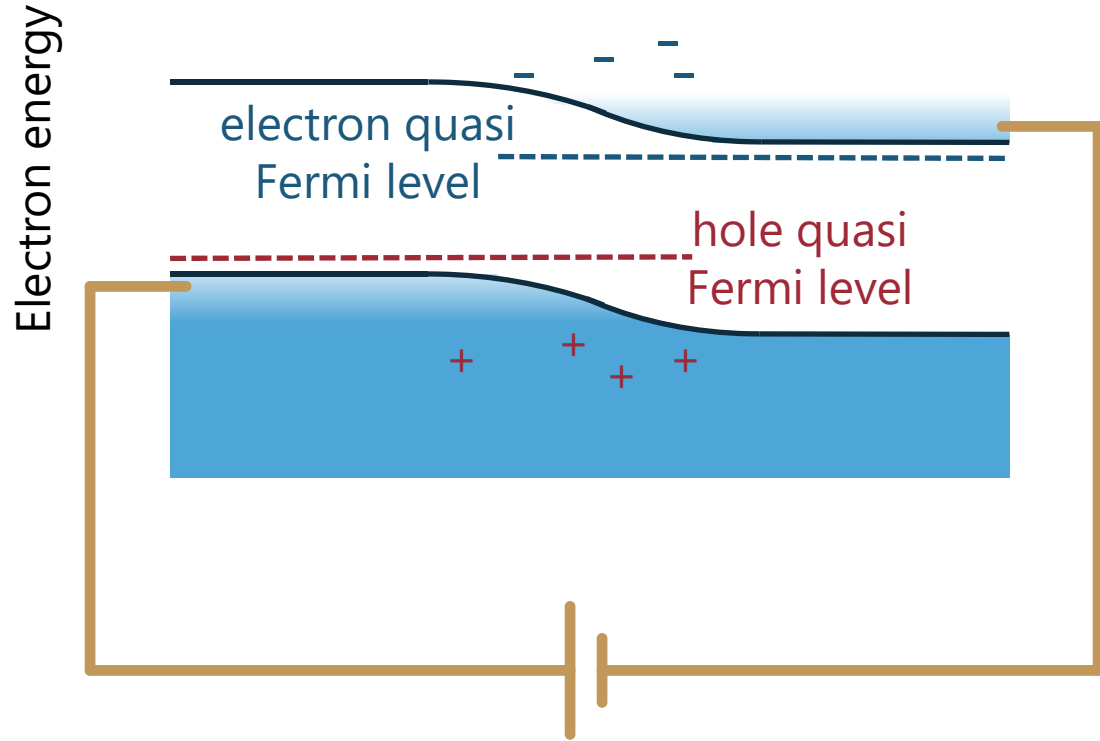
The electrons can be in thermal equilibrium with each other

and so can the holes

giving useful models for their distributions

This allows detailed models for

light emission by "recombination"



# Light-emitting diode emission

For light emitting diodes

it is sufficient to get some  
electrons in the conduction  
band

and some holes in the  
valence band

Then recombination can  
happen

emitting photons

